

Notice of Hearing

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5. In preparing the new account form for the investors referenced above the Respondent checked the box indicating that the sales were unsolicited when in fact the sales were solicited.
6. That Section 8.E(1)(q) of the Act provides, *inter alia*, that the registration of a salesperson may be denied, suspended or revoked if the Secretary of State finds that such salesperson has failed to maintain the books and records required under this Act or rules or regulations promulgated under this Act or under any requirements established by the Securities and Exchange Commission or a self regulatory body.
7. By virtue of the foregoing, Respondent violated Section 8.E(1)(q) of the Act.

You are further notified that you are required pursuant to Section 1104 of the Rules to file an answer to the allegations outlined above, a Special Appearance pursuant to Section 1107 of the Rules, or other responsive pleading within thirty (30) days of receipt of this notice. Your failure to do this within the prescribed time shall be deemed an admission of the allegations contained in the Notice of Hearing and waives your right to a hearing.

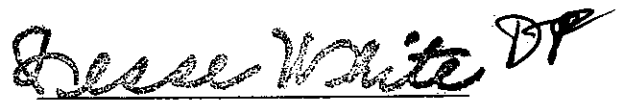
Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to appear shall constitute default by you.

The Rules promulgated under the Act and pertaining to Hearings held by the Office of the Secretary of State, Securities Department may be viewed online at <http://www.cyberdriveillinois.com/departments/securities/lawrules.html>.

Delivery of notice to the designated representative of any Respondent constitutes service upon such Respondent.

Dated: This 16th day of July 2009

Attorney for the Secretary of State:
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JESSE WHITE
Secretary of State
State of Illinois

Hearing Officer:
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