

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

**IN THE MATTER OF: DAVID B. JORDAN
and FAITH B. JORDAN,
their partners, officers and directors,
managers, agents, employees,
affiliates, successors and assigns.**

NO. 0600466

NOTICE OF HEARING

**TO RESPONDENTS: DAVID B. JORDAN
1424 ASPEN LANE
YORKVILLE, ILLINOIS 60560
AND
FAITH B. JORDAN
1424 ASPEN LANE
YORKVILLE, ILLINOIS 60560
and/or
8555 WEST HIGHPOINT ROAD
YORKVILLE, ILLINOIS 60560**

You are hereby notified that pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, subpart K, a public hearing will be held at 69 West Washington Street, Suite 1220, Chicago, Illinois 60602, on the 21st day of October, 2009 at the hour of 10:00 a.m. or as soon thereafter as possible before George Berbas, Esq. or such other designated Hearing Officer as the Secretary of State may appoint.

Said hearing will be held to determine whether a permanent Order shall be entered prohibiting Respondents David B. Jordan and Faith B. Jordan and their partners, officers and directors, agents and employees, affiliates, successors and assigns from offering or selling securities in or from the State of Illinois and/or granting such other relief as may be authorized under the Act, including but not limited to, the imposition of a monetary fine in the maximum amount pursuant to Sec. 11.E(4) of the Act, payable within ten (10) days of the Order.

The grounds for such proposed action are as follows:

1. David B. Jordan (hereinafter "DJ" and collectively with FJ "Respondents") has a last known address of 1424 Aspen Lane, Yorkville, Illinois 60560.

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2. Faith B. Jordan (hereinafter "FJ" and collectively with DJ "Respondents") has a last known addresses of 1424 Aspen Lane, Yorkville, Illinois 60560 and/or 8555 West Highpoint Road, Yorkville, Illinois 60560.
3. Respondents DJ and FJ, at all times relevant to this Temporary Order of Prohibition were husband and wife.
4. Beginning in June 2004 and continuing through approximately October 2004 Respondents solicited investments from at least one Illinois resident ("Investor") through personal, face-to-face, meetings.
5. Respondents have no prior relationship with Investor and pursued the relationship with the Investor solely for the purpose of the investment.
6. In about July 2004 Respondents offered Investor the opportunity to participate in 40% of the profits of a loan brokerage business (40% Participation) in exchange for providing financing for that business (the "Business").
7. Since the Investor would have to procure the investment funds by means of a home equity loan (the "Loan"), Respondents agreed to reimburse the Investor for the payments on the Loan, in addition to the 40% Participation promised in paragraph 6 above (the "Agreement").
8. In payment of the 40% Participation the Investor gave Respondents a check for \$45,000 in July 2004 and another for \$10,000 in August 2004.
9. In October 2004 Respondents told the Investor the Business needed another \$10,000 "to make it to January 1, 2005" at which time "the business would start profiting."
10. In October 2004 Investor gave Respondents a check for the additional \$10,000, which brought the total invested to \$65,000.
11. Respondents' activities described above involve the offer and sale of a participation in a profit sharing agreement or an investment contract as those terms are defined in Sections 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act").

FAILURE TO REGISTER SECURITIES

12. Section 5 of the Act provides, *inter alia*, that "all securities except those set forth under Section 2a of this Act...or those exempt...shall be registered...prior to their offer or sale in this State.
13. Respondents failed to file an application with the Secretary of State to register the securities set forth above as required by the Act, and as a result the investment contracts were not registered as such prior to their or sale in the State of Illinois.

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14. Section 12.A of the Act provides, *inter alia*, that it shall be a violation of the Act to offer or sell any security except in accordance with the provisions of the Act.
15. Section 12.D of the Act provides, *inter alia*, that it shall be a violation of the Act to fail to file with the Secretary of State any application, report or document required to be filed under the provisions of this Act or any rule or regulation made by the Secretary of State pursuant to the Act or to fail to comply with the terms of any order of the Secretary of State issued pursuant to Section 11 hereof.
16. By virtue of the foregoing, Respondents violated Sections 12.A and 12.D of the Act.

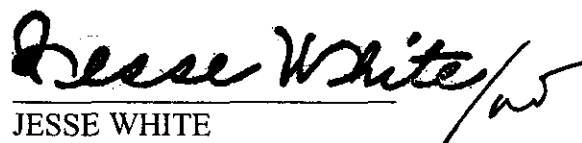
You are further notified that you are required pursuant to Section 130.1104 of the Rules and Regulations (14 Ill. Adm. Code 130) (the "Rules") to file an answer to the allegations outlined above within thirty (30) days of the receipt of this notice. A failure to file an answer within the prescribed time shall be construed as an admission of the allegations contained in the Notice of Hearing.

Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to appear shall constitute default, unless any Respondent has, upon notice, moved for and obtained a continuance.

A copy of the Rules, promulgated under the Act and pertaining to Hearings held by the Office of the Secretary of State, Securities Department, is available at <http://www.cyberdriveillinois.com/departments/securities/lawrules.html>.

Delivery of notice to the designated Representative of any of the respondents constitutes service upon such respondent.

Dated: This 17th day of July 2009



JESSE WHITE
Secretary of State
State of Illinois

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